

Nobue Sato

Nobue.Satou@gmail.com • Liliova 6, Prague 1 110 00, Czech Republic • (+420) 777 266 149

Education

MA in Applied Economics

CERGE-EI Prague, Czech Republic, Expected 2012

LLB in International law

Hitotsubashi University, Japan, 1992

Experience

Aurora World

Consulting for international companies

April 1992 – Present

Representative and chief consultant

- Providing professional consulting services to international companies
- Consultation includes legal and compliance, internal control, regulatory risk, operation, research and development, project management
- Recent clients' names and job responsibilities include:
 - Baker & McKenzie GJB, Capital Market Team, 2009
 - Documented prospectus for bond issuance of European banks in Japan
 - Lehman Brothers, Inc., Compliance Officer for SESC inspection 2008
 - Presented the firm in communication and interaction with FSA
 - Royal Bank of Scotland, Compliance officer, 2007 – 2008
 - Provided trainings and seminars for relevant employees to disseminate new legislation and group policy regarding to conflict of interest, anti-money laundering, financial instrument and exchange law, and personal data protection.
 - Investigated, resolved and documented FSA inspection findings and worked with the business to improve process and procedure
 - Credit Suisse Asset Management, Legal & Compliance, 2005 – 2006
 - Implemented/supervised daily trade surveillance system. Collaborated with the product development and the portfolio management teams on drafting new standard investment guidelines.
 - Identified compliance risks from every business line and managed them by providing training and real-time advice.
 - Regularly updated compliance programs regarding to firm activities and regulatory requirements.
 - Managed the inspection preparation project of reviewing the strengths and weakness of the company's existing internal controls.

- Goldman Sachs Asset Management, Operations, 2004
 - Conducted and managed operation job trainings to staffs for the project of transferring some of the Tokyo operation function into India.
- Societe General Asset Management, Investment Management Dept., 2002
 - Analysed fund management results of equity, fixed income, and alternative funds
- Jardine Fleming Securities, Equity Research Dept., 2000-2001
 - Researched and analysed IT industry
- NTT Corporation, Multimedia Business Dept., 1997-1999
 - Researched and analysed multimedia business industry
- Shimizu Corporation, Overseas Business Div. Legal Affairs Dept., 1997
 - Documented contracts for construction of Uzbekistan airport and establishment of new operation branch in Dubai
- NTT Corporation, Network Development Dept., 1995-1996
 - Researched and analysed internet cable industry
- Nippon Telecom Corporation, Sales Dept. Supervisor 1993-1994
 - Supervised and managed 80 sales staffs

Qualifications

- Japan Securities Dealers Association Sales Representative Class 1, 2007
- Japan Securities Dealers Association Internal Control Supervisor, 2007

Awards and Achievements

- BCG strategy cup in Prague, finalist, 2011

Languages

- English (fluent, TOEIC score 970), French (basic), Japanese (native)

Computer Skills

- MS Office, MS Excel, Stata

Personal

Citizenship: Japan

Interests: Investment Banking, Music, Art, Tennis