

Nobue Sato

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Education

MA in Applied Economics

CERGE-EI, University of the State of New York, Prague, Czech Republic, Expected 2012

- Financial Economics and Public Policy Specializations
- Program chartered by the New York State Board of Regents and conducted in Prague
- Courses in Quantitative Methods, Banking, Investments and Asset Pricing, etc.

LLB in International Law

Hitotsubashi University, Japan, 1992

- International Political Economy and Foreign Policy Making Specializations
- Studied Japan's policy on steel trade disputes with United States
- Courses in International Law, International Politics and Relationships, etc.

Experience

Aurora World

Consulting for global companies

1992 –2011

Representative and chief consultant

- Provided professional consulting services to global companies
- Consulted on legal and compliance, project management, risk management, internal control, operation, research and development, and investment management issues.

Clients and responsibilities included:

- **Lehman Brothers, Inc.**, Compliance Officer for SESC inspection 2008
 - Project management and support for FSA inspection correspondence
- **Royal Bank of Scotland**, Compliance officer, 2007 – 2008
 - Provided training and seminars for relevant employees to disseminate new legislation and group policy regarding conflict of interest, anti-money laundering, financial instrument and exchange law, and personal data protection.
 - Investigated, managed project, resolved, and documented FSA inspection findings and worked with the business to improve process and procedure
- **Credit Suisse Asset Management**, Legal and Compliance, 2005 – 2006

- Implemented/supervised daily trade surveillance system. Collaborated with product development and portfolio management teams on drafting new standard investment guidelines.
- Identified compliance risks from every business line and managed them by providing training and real-time advice.
- Regularly updated compliance programs regarding firm activities and regulatory requirements.
- Managed the inspection preparation project of reviewing the strengths and weaknesses of the company's existing internal controls.
- **Goldman Sachs Asset Management**, Operations, 2004
 - Conducted and managed operation job training of staff for the project of transferring some of the Tokyo operations to India.

Qualifications and Awards

- Finalist, Boston Consulting Group Strategy Cup, business case-study competition, 2011
- Sales Representative Class 1, Japan Securities Dealers Association, 2007
- Internal Control Supervisor, Japan Securities Dealers Association, 2007

Languages

- Japanese: native
- English: fluent, TOEIC score 970
- French: basic

Computer Skills

- MS Office, Stata, Gretl

Additional Educations

Global Agenda Seminar, Roppongi, Tokyo, 2010

- 1 year seminar sponsored by World Economic Forum and facilitated by a professor of the Hitotsubashi University MBA course.
- Problem solving and logical thinking skills training in order to solve global issues such as the environment, finance, food, and education.

Accounting Extension Program, Heyward, California, 2001

- California State University, 8 courses including cost and advanced accounting, business taxation, and auditing.
- Obtained 33 certified accounting credits with a 4.0 overall GPA.

Personal

Citizenship: Japan

Interests: Music, Art, Tennis